

**TITLE OF THE INVENTION****WDM TRANSMITTER****5    BACKGROUND OF THE INVENTION**

The invention relates to a transmitter for wavelength division multiplexed signals.

Optical fiber telecommunication systems are undergoing continual expansion, fuelled by the need for more bandwidth. The nature of the expansion in demand for bandwidth is such that wavelength division multiplexing (WDM) of optical channels is required to overcome the bottleneck in capacity which arises in time-division-multiplexed (TDM), single-wavelength systems due to speed limitations of electronic circuits. State-of-the-art commercial systems use up to sixteen simultaneous channels to increase system capacity but the demand for capacity will continue to increase.

Although the capacity of the third telecommunications window is very large, loss limitations dictate that optical amplifiers are essential building blocks in modern networks and optical amplifiers, in particular erbium-doped fiber amplifiers (EDFA), determine the currently available practical bandwidth.

Current trends are towards dense wavelength division multiplexing with a 100GHz channel spacing as the next generation of WDM comb standards.

For example, recent experiments have demonstrated 1Tb/s transmission using 100x10Gb/s as described in A. K. Srivastava et al., "1Tb/s transmission of 100 WDM 10Gb/s channels over 400km of TrueWave<sup>TM</sup> fiber", in *OFC '98 Technical Digest*, paper PD10, 1998.

Another example is the demonstration of transmission using 50x20Gb/s WDM channels over 400km and 600km as described in S. Aisawa, T. Sakamoto, M. Fukui, J. Kani, M. Jinno and K. Oguchi, "Ultra-wide band, long distance WDM transmission demonstration: 1Tb/s (50x20Gb/s), 600km transmission using 1550 and 1580nm wavelength bands", in *OFC '98 Technical Digest*, paper PD11, 1998.

There are two alternative approaches to implement high quality sources for telecommunication purposes, namely the semiconductor and the fiber distributed-

09931286-081601

feedback (DFB) laser. Commercial systems and most experimental systems being studied so far, for example the systems referred to in the above references, use various types of semiconductor lasers (SLs) as sources, for example DFB or DBR lasers. SLs are powered individually and are usually wavelength-stabilized (in order to meet the stringent telecom grid requirements) by the use of an external cavity. It is also well known that SLs are prone to aging effects and sudden failures resulting in a complete loss of the corresponding communication channel. This renders SLs quite unsuitable for integration, since failure of a single laser implies replacement of the entire integrated chip.

Fiber DFBs have been studied for the last few years since their first development at Southampton University, as reported in J. T. Kringlebotn, J.-L. Archambault, L. Reekie and D. N. Payne: 'Er<sup>3+</sup>:Yb<sup>3+</sup>-codoped fiber distributed-feedback laser', *Optics Letters*, 19(24), 2101-3, Dec 1994. Fiber DFB lasers have potential advantages in terms of wavelength setability and stability, as well as reliability, longevity and cost, such that they appear as a promising alternative to semiconductor laser sources. In addition and most importantly, there is no known degradation and failure mechanism for fiber or waveguide DFB lasers. Fiber and waveguide DFB lasers, though, still rely on high power semiconductor lasers for efficient pumping and large output powers.

In an article W. H. Loh, B. N. Samson, L. Dong, G. J. Cowle and K. Hsu, "High performance single frequency fiber grating-based erbium:ytterbium-codoped fiber lasers", *J. Lightwave Technology*, vol. 16, no. 1, pp. 114-118 (1998) it is suggested that an array of semiconductor pump lasers can be used to power an array of fiber lasers. Specifically, in the above-referenced article there is proposed a 16-channel WDM transmitter in which the light outputs from all 16 pump lasers are combined and redistributed to 16 fiber lasers using a 16x16 splitter. In this case, each fiber laser is equally fed by all pump lasers and, therefore, failure of an individual pump laser will result in only a small reduction in the transmitter power of each channel supplied by the associated fiber laser.

The suggested architecture is limited to equal numbers of pump lasers and fiber lasers which may be undesirable for 16+ channel WDM transmitters in that an excessive number of pump lasers is needed. Moreover, it is generally undesirable to

09931236 "081601

use a splitter in the suggested manner for large numbers of channels, since the power of all the pump lasers is localized in the active part of the splitter leading to undesirable non-linear effects. Furthermore, the suggestion in the article is limited to providing tolerance against pump failure, whereas a mechanism for positive failure  
5 recovery would be more desirable.

09031236 081601  
109180 9821660

**SUMMARY OF THE INVENTION**

According to the invention there is provided an optical transmitter comprising:  
a pump laser array of up to M pump lasers for producing respective pump beams; a M  
5 x N multiplexer having M inputs and N outputs, the pump lasers being connected to  
receive the pump beams from respective ones of the M inputs; and a fiber laser array  
of up to N fiber lasers, the N outputs of the multiplexer being connected to pump  
respective ones of the fiber lasers.

In one embodiment, the multiplexer is configured so that a pump beam  
10 received at any one of its M inputs is internally routed to all of its N outputs.

In another embodiment, the multiplexer is subdivided into a plurality of  
modules, wherein each module is decoupled from the other module or modules, and  
wherein each module is configured so that a pump beam received at any one of the  
inputs of the multiplexer associated with that module is internally routed to all of the  
15 outputs of that module.

The ratio of outputs to inputs, N/M, may be greater than one, for example an  
improper fraction or an integer power of two. This has the important advantage of  
allowing the level of pump redundancy to be selected independently of the number of  
WDM channels. Clearly, for a 16, 32 or higher channel WDM, the number of pump  
20 lasers needed for redundancy will not generally be as high as the number of WDM  
channels.

In embodiments of the invention, the multiplexer has a configuration  
comprising a plurality of multiplexing locations where the pump beams are  
multiplexed, the configuration being such that no more than one half the total power of  
25 the pump beams can interact at any one of the multiplexing locations. This can be  
achieved with arrayed waveguide gratings (AWGs) or fiber couplers at the  
multiplexing locations. By avoiding combining all the power of the pump beams at a  
single location, such as with the splitter suggested in the prior art referred to above,  
there is the important advantage that higher pump powers can be used without  
30 inducing undesirable non-linear effects.

The transmitter may advantageously be provided with additional feedback to  
provide automatic recovery from pump laser failure and maintenance of WDM

05931286-081501

channel output power. To do this, a power monitoring device can be arranged to measure power at a point in the transmitter after the multiplexer and a feedback control device connected to control the pump lasers responsive to the power measured by the power monitoring device. The power monitoring device may be conveniently  
5 arranged to measure power at the fiber lasers, for example at the output or input thereof.

09931286.081601

**BRIEF DESCRIPTION OF THE DRAWINGS**

For a better understanding of the invention and to show how the same may be carried into effect reference is now made by way of example to the accompanying  
5 drawings in which:

Figure 1 is a schematic diagram of a transmitter according to the first embodiment;

10 Figure 2 is a schematic diagram of a transmitter according to the second embodiment;

Figure 3 shows an 8-channel pumped 16-channel fiber laser WDM transmitter according to a first example;

15 Figure 4 shows an 8-channel pumped 16-channel fiber laser WDM transmitter according to a second example;

20 Figure 5 shows an 8-channel pumped 16-channel fiber laser WDM transmitter according to a third example;

Figure 6 shows an 8-channel pumped 8-channel fiber laser WDM transmitter according to a fourth example; and

25 Figure 7 is a schematic diagram of a transmitter according to a third embodiment.

09931286-091601

## DETAILED DESCRIPTION

In the following we disclose a fully integrated transmitter module for DWDM applications.

5

### First Embodiment

Figure 1 shows schematically the general configuration of the proposed module. An array of M pump lasers 10 (stage#1) are multiplexed by an MxN multiplexer, in the form of a coupler 20, (stage#2) and used to feed an array of N optically pumped fiber lasers 30 emitting at wavelengths  $\lambda_1, \lambda_2, \dots, \lambda_N$  (stage#3). The parameter M determines the number of pump lasers as well as the number of inputs of the pump-multiplexing coupler and can be smaller or equal to parameter N that determines the number of optically pumped lasers. The fiber laser outputs are passed through N isolators 40 (stage#4) before entering N modulators 50 (stage#5) where the signals are monolithically modulated. The outputs of the modulators are passed through an array of N tunable attenuators 60 (stage#6). Finally all the individual channel outputs are recombined into a single output 80 in a combiner 70 (stage#7). The output 80 will typically lead to an optical network.

Stage#1 comprises M pump lasers that can be electrically or optically driven. The parameter M can be larger or equal to 2. The pump lasers emit at any appropriate wavelength and power level to optically pump the laser cavities of stage#3. As an example, appropriate pumping wavelengths can be in the regions of 980nm, 1060nm, 1480nm, to mention a few.

The MxN multiplexer (stage#2) can be any composite coupled-waveguide or fiber structure that splits any of the M inputs equally into any of the N outputs. As already mentioned, M is smaller or equal to N. The pump multiplexers can be based on single NxN fused (or multimode) couplers, or concatenated 2x2 couplers (50:50 at the pump wavelength). The couplers can be in fiber or planar waveguide form. Another example of pump multiplexer is the arrayed waveguide grating (AWG) which is particularly attractive for large M. Typical values of N is 2, 4, 8, 16...256...

The optically-pumped laser section (stage#3) will comprise of N fully thermally-stabilized and optimized individual single-polarization fiber or waveguide DFB or DBR lasers. Fiber or waveguide DFBs can be fabricated in a material doped with an appropriate rare earth that provides sufficient gain over a certain bandwidth  
 5 anywhere within the 800nm to 1900nm range.  $\text{Er}^{3+}$  and  $\text{Er}^{3+}/\text{Yb}^{3+}$  are two examples of such appropriate dopants that provide gain at the 1550nm window. The optically pumped lasers can also be based on semiconductor technology.

The isolator array (stage#4) is introduced to eliminate feedback due to fiber splices or waveguide refractive-index/modal-field mismatches or Rayleigh  
 10 backscattering and avoid laser output frequency and power instabilities.

For the modulation of the optical signal in stage#5, a number of different modulators can be used, such as LiNbO3 modulators or chi(2)-based electro-optic modulators. In stage#6 the individual channels are passed through an array of N variable optical attenuators that are used to adjust their output powers. The optical  
 15 attenuators can be electrically or optically controlled by tapping out and monitoring a small portion of their output. The variable optical attenuator array can be used to either equalize the optical powers or adjust them appropriately (pre-emphasis) before launching them into an amplified optical link. The attenuator array can be alternatively inserted between stages#3 and #4 or #4 and #5. In stage#7, all the  
 20 modulated and properly adjusted channel outputs are recombined into a single output for launching into the optical network. The recombiner circuitry can be based on planar waveguide or fiber optical technology.

If we define as *pump redundancy* the amount of relative pump reduction (in %) when one of the pump lasers fails, it can be easily realized that the proposed MxN  
 25 ( $M \leq N$ ) pump multiplexer, in Figure 1, can provide  $(1/M)\%$  pump redundancy. In most telecom applications, a pump redundancy of  $\sim 10\%$  will usually suffice. For  $M \geq 16$ , it is clear that the proposed MxN pump multiplexer provides excessive pump redundancy. On the other hand, for  $M \geq 16$  the complexity of or the number of components required for the pump multiplexer increases dramatically and renders the  
 30 implementation of such device either impractical or impossible or extremely expensive. To ease the design, improve the performance or reduce the cost of the pump multiplexer (stage#2), we propose a different approach.

09931226-081601



## Second Embodiment

Figure 2 shows an embodiment taking such an approach. The pump redundancy is applied in  $n$  blocks of  $M_i$  pumps  $10_1, 10_2 \dots 10_n$ , where  $M_i$  ( $i=1,2 \dots n$ )  $< M$  and  $M_1 + M_2 + \dots + M_n = M$ . Each block of  $M_i$  ( $i=1,2 \dots n$ ) pumps is feeding a block of  $N_i$  ( $i=1,2 \dots n$ ) optically pumped lasers  $20_1, 20_2 \dots 20_n$ , where  $N_i$  ( $i=1,2 \dots n$ )  $< N$  and  $N_1 + N_2 + \dots + N_n = N$ , through a  $M_i \times N_i$  pump multiplexer. In this case the overall pump redundancy provided by the multiplexer is  $(1/M_p)\%$ , where  $M_p$  is the minimum  $M_i$ . The rest of the stages are the same as Figure 1.

As an example of the complexity reduction provided by the scheme of Figure 2, let us consider two different implementations of a  $128 \times 128$  pump multiplexer. If the  $N \times N$  multiplexer (where  $N=2^m$ ) is comprised of concatenated  $2 \times 2$  couplers, it can be easily shown that the total number of required couplers is  $C = m 2^{m-1}$ . In the configuration of Figure 1,  $M=N=2^7$ , ( $m=7$ ) and therefore the number of required couplers is  $7 \times 2^6 = 448!!!$ . The pump redundancy in this case is 0.008%. However, the total splice and radiation losses will make this very expensive solution impractical. If on the other hand we adopt the strategy of Figure 2 instead and divide the pump multiplexing unit in 16 blocks of 8 inputs each, then  $M_1=M_2=\dots M_{16}=8$  and the total number of  $2 \times 2$  couplers is reduced to  $16 \times (3 \times 2^2) = 16 \times 12 = 192$ . Each block involves only 12 couplers and therefore shows massively reduced insertion loss. Such an approach not only halves the cost of the unit, but also reduces the insertion loss to very small levels. The pump redundancy in this case is  $\sim 12\%$ , very close to the 10% target. Adoption of the same strategy in planar waveguide, or AWG based multiplexers will result in similar benefits.

Stages #1 to #6, in Figures 1 and 2, can be either separate units connected optically together or they can be combined into integrated subgroups connected together. Each subgroup can contain two or more of the aforementioned stages. They can also all be integrated on a single all-planar or hybrid waveguide chip to produce a fully integrated and robust transmitter module. The integrated chip can be based on glass or LiNbO3 technology or any other appropriate integrated optics technology. In the case that all stages are fully integrated in one chip or stages #3, #5, #6 and #7 constitute the only fully integrated subgroup, the isolator array (stage#4) can be

00031286-001601

ignored and replaced with a single isolator at the combined output of the integrated transmitter (to avoid the deleterious effects of Rayleigh back-scattering produced by the fiber-optic link). The transmitter topologies (Figure 1 and 2) disclosed here require each optically-pumped-laser output being on a separate fiber or waveguide so that it can be easily interfaced with the optical modulator. The laser array is fully protected from back reflections by the intervened isolators. A single pump can be used to pump a number of lasers by splitting the pump output and distributing it to a number of optically pumped lasers. Reliability of the module is an important issue, and this can be improved by multiplexing and splitting a number of pump lasers and distributing the power to the optically pumped lasers. The loss of a single pump laser will then not cause the loss of any channels. The drive currents of the remaining pump lasers could be increased to compensate for the lost laser, if sufficient margin has been built in, until the failed unit is replaced. The wavelength separation of the optically pumped lasers can be designed with flexibility but it is proposed to generate a 100GHz optical comb or any other comb compatible with the ITU grid. All laser outputs, after being modulated, are recombined into one output so that they can all be launched into the optical communication network.

#### First Example

Figure 3 shows an example of an integrated 16-channel WDM Transmitter that uses eight 980nm pump lasers 10 (stage#1). The pump redundancy scheme (stage#2) is all fiber and comprises an 8x16 all-fiber star coupler 20 consisting of twenty-four 2x2 fiber couplers 22 (50:50@980nm). Stage#3 consists of a sixteen channel 50 GHz fiber DFB array, more especially sixteen single-polarization, unidirectional fiber DFB high power lasers 30 at 1550nm. Stage#4 includes sixteen pigtailed fiber isolators 40. Stage#6, the combiner stage, is an all fiber multiplexer 70 comprised of fifteen 2x2 fiber couplers 72 (50:50@1550nm). The optical modulators (stage#5) and optical attenuator (stage#7) arrays are not illustrated in this example for the sake of convenience, and could also be omitted in practice if desired. It should, however, be stressed that the pump stage 10 (stage#1) includes an additional WDM coupler 24 (980/1550nm) in front of each pump source, i.e. eight in total, in order to filter out the

residual laser power (at 1550nm) that leaks out the back-end of the DFB lasers and propagates in the backward direction.

### Second Example

5

Figure 4 shows an integrated WDM Transmitter similar to that of Figure 3 with additional feedback control. The pump redundancy scheme (stage#2) in Figure 1, ensures that any pump power reduction, due to one or more pump failures, is equally distributed among the optically pumped laser array (stage#3). In the example of  
10 Figure 3, the output powers from the fiber lasers 30 are thus all reduced by the same amount.

To convert this passive tolerance to pump laser failure into an active recovery mechanism responsive to pump failure, a feedback control is used in the example of Figure 4. Namely, the output power of one of the optically pumped lasers is  
15 monitored by a monitoring device 92, as shown in Figure 4, which may comprise a coupler and photodiode detector as illustrated. The measured power is then fed back with a control unit 90 to the pump lasers 10. More specifically, the electrical power supplied to the pump lasers, e.g. injection current, is adjusted to restore the fiber laser output powers to the pre-failure level which can be a preset value. Further, as the  
20 faulty pump or pumps are replaced, the fiber laser output powers will remain stable through the same feedback mechanism which will dynamically reduce the power supplied to the pump lasers. Similar feedback control can be employed in the pump redundancy scheme of any of the embodiments and examples of the invention, although not explicitly illustrated or described.

25 In the case of the Figure 2 embodiment, at least one laser corresponding to each block should be monitored and only the affected block of pumps is adjusted.

In all cases, the feedback can be provided by the variable attenuation feedback circuitry described in relation to the example of Figure 4.

Moreover, it will be understood that the monitoring device 92 may instead be  
30 arranged on the input side of the fiber lasers 30, to measure the pump power supplied to one of the fiber lasers, rather than the fiber laser output. This would still provide the necessary feedback, since the pump power supplied at the input of each fiber laser is

05931286-031601

also a composite with contributions from all the active pump lasers. Still more generally, power can be measured for feedback purposes at any point downstream of the multiplexer, before or after the combiner stage.

5

### Third Example

Figure 5 shows an 8-channel pumped 16-channel fiber laser WDM transmitter according to a third example.

10 The use of a pump redundancy scheme is seen not only to allow for the failure of one or more pump-diodes, but also to provide the extra bonus of averaging any fluctuations in diode power. The extension of this scheme to provide 16 DFB fiber lasers with only eight pumps can be realized by splitting the eight outputs from the previous 8-channel scheme with an additional set of 3-dB couplers. This configuration  
15 is shown in Figure 5 with a demonstration of how such a system would look with a modulator attached to each WDM channel output. To demonstrate such a system, 16 DFB fiber lasers now separated in frequency by 50 GHz were employed. Despite the reduced spacing to 50 GHz, a high signal-to-noise ratio (SNR) between adjacent channels is maintained. A splitting of the pump lasers to an extra eight fiber lasers  
20 reduces the output power from each of the transmitter lasers but produces a module with the same redundancy of 1/8. Additionally the increased pump splitting has the advantage of reducing the price of the transmitter module because more channels are available from the same number of pumps.

If a redundancy scheme based on 3-dB couplers is considered, the total number  
25 of couplers  $N$ , required to make an  $n \times k$  channel system ( $n \leq k$ ) with subsequent redundancy of  $1/n$  is

$$N = 2^m \cdot \left( m + \sum_{i=0}^h 2^i \right) \quad (1)$$

30

09931286-081601

where  $n = 2^{m+1}$  is the number of pumps and  $k = 2^{h+m+1}$  is the number of supported WDM channels. Depending on what pump redundancy and net output power from the sources is required, even a system consisting of the splitting of just four pumps to 16 lasers is from equation (1) seen to be possible with 16 3-dB couplers. Such a system will only have a redundancy of 1/4 though but will provide a SNR between 50-GHz channels which is in excess of 50 dB with an output power of -20dBm from each transmitter laser. This output level is possible to increase by employing more powerful pump sources and a multiplexer with less insertion loss than the one demonstrated here. This type of multiplexer is used in this case to demonstrate that it is practical to manufacture all-fiber transmitter sources.

#### Fourth Example

Figure 6 shows an 8-channel pumped 8-channel fiber laser WDM transmitter according to a fourth example.

Figure 6 shows a schematic of an 8-channel transmitter module. This module consists of eight pump diodes, a pump redundancy unit and eight asymmetric all-fiber DFB lasers of length 5cm with isolators on the output end. The principle of the pump redundancy module is to split the powers from the pumps equally between the DFB-fiber lasers, such that each laser still will receive the pump power corresponding to that provided by one pump diode. The particular module is made up of 2 x 2 3-dB couplers at 980 nm and equal splitting of eight inputs to eight outputs is obtained with 12 couplers. The total insertion loss for each pump input channel in this 8-channel module is ~1 dB. A single 8 x 8 splitter would provide the same splitting principle.

Eight DFB fiber lasers separated in frequency by 100 GHz were made using a continuous grating writing technique with continuous wave (CW) 244-nm ultra-violet (UV) light and a phase-mask assembly. The grating writing technique is described in WO98/08120. The lasers all operate in a single polarization mode with a purity of >40 dB and with a single-sided output power ratio of ~50:1. The slope efficiency of the lasers is ~25% and using the pump redundancy scheme with ~50 mW (17 dBm) power from each pump diodes, results in CW output powers of ~5 dBm±0.25 dBm

0991286.081501

from all the lasers. The outputs of the eight lasers are combined in an all-fiber multiplexer consisting of seven 1550-nm 3-dB splitters with a total insertion loss of ~10 dB. Noise measurements of the lasers show RIN < -160 dB/Hz ( $f > 10$  MHz) with RIN < -165 dB/Hz for frequencies larger than 30 MHz, indicating very quiet sources  
5 with performance well suited for high speed communication systems.

### Third Embodiment

Figure 7 shows schematically the general configuration of a transmitter  
10 according to a third embodiment. The third embodiment may be understood by comparison with the first embodiment. Namely, in the third embodiment, the fiber lasers of the first embodiment are replaced with optical fiber amplifiers and the modulator and attenuator stages omitted. The third embodiment is particularly suited for use as a transmitter in an optical repeater, such as used in long-haul WDM optical  
15 fiber transmission lines.

An array of M pump lasers 110 (stage#1) are multiplexed by an MxN multiplexer, in the form of a coupler 120, (stage#2) and used to feed an array of N optically pumped fiber amplifiers 130. The fiber amplifiers 130 each comprise a gain section 132, for example formed by erbium or other rare earth doping, and a fiber  
20 coupler 136 for introducing a signal to be amplified from an input line 134. Alternatively, semiconductor optical amplifiers could be used instead of fiber amplifiers for the optical amplification. The parameter M determines the number of pump lasers as well as the number of inputs of the pump-multiplexing coupler and can be smaller or equal to parameter N that determines the number of optically pumped  
25 lasers. The fiber amplifier outputs are passed through N isolators 140 (stage#4). The isolator array is introduced to eliminate feedback due to fiber splices or waveguide refractive-index/modal-field mismatches or Rayleigh backscattering and avoid output frequency and power instabilities. Finally all the individual channel outputs are recombined into a single output 180 in a combiner 170 (stage#5).

30 Other comments relating to the first embodiment also apply to the third embodiment. Moreover, the second embodiment and its examples may be modified by

09031286-081601  
109180-9815660

replacing the fiber lasers with optical amplifiers to create further embodiments. Specifically, the modular construction of Figure 2 may be incorporated. Moreover, the power scheme monitoring design of Figure 4 may be used, as illustrated in Figure 7, with a power monitoring device 192 and control unit 190 which are similar to the  
5 analogous components of the Figure 4 embodiment.

#### Summary & Further Variants

It will be understood that there may be fewer than M pump lasers. That is, it is  
10 not essential that every one of the multiplexer inputs is active. This will effectively occur in any case on pump laser failure.

Similarly, there may be fewer than N fiber lasers or optical amplifiers. That is, it is not essential that every one of the multiplexer outputs is utilized.

Moreover, it is not necessarily the case for all applications that the output from  
15 the fiber laser or optical amplifier array will need to be combined into a single output line, in which case the combiner stage would be omitted.

If provided, the power monitoring device may be arranged to tap power at any point subsequent to the multiplexer, so may be arranged after the second, third, fourth, fifth or sixth stages of the first or second embodiments, or after the second, third or  
20 fourth stages in the third embodiment.

0931286 081601  
T09T80 9821E660